

Client: <b>WAL-MART STORES INC (Supplier Direct)</b>	Subcontractor of:
Factory: <b>SCHOONBEE</b>	Inspector Name: <b>Davidzo Muchawaya</b>
Country of Inspection: <b>South Africa</b>	Date Sent: <b>Wednesday, June 03, 2015</b>
Country Risk Rating:	Supplier ID:
Type: <b>Follow Up Audit</b>	Manufacturer ID (factory): <b>253328</b>

**Grading Summary**

	Total # of points in compliance	Total # of points	Percentage Compliant
Personnel Security	13	13	100%
Threat Awareness	6	6	100%
Physical Access Controls	19	19	100%
Physical Security	28	28	100%
Container / Trailer Security	28	28	100%
Procedural Security	18	18	100%
Information Security	11	11	100%
Contractor Security	5	5	100%

**Score: 100**

	0 to 70	71 to 87	88 to 100
	High Risk	Medium Risk	Low Risk

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**Critical Concerns****Personnel Security****No Concerns**

N/A

**Physical Access Controls****No Concerns**

N/A

**Physical Security****No Concerns**

N/A

**Container / Trailer Security****No Concerns**

N/A

**Procedural Security****No Concerns**

N/A

**Information Security****No Concerns**

N/A

**Contractor Security****No Concerns**

N/A

**Non-Critical Concerns****Personnel Security****No Concerns**

N/A

**Threat Awareness****No Concerns**

N/A

**Physical Access Controls****No Concerns**

N/A

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**Non-Critical Concerns**

**Physical Security**

**No Concerns**

N/A

**Container / Trailer Security**

**No Concerns**

N/A

**Procedural Security**

**No Concerns**

N/A

**Information Security**

**No Concerns**

N/A

**Contractor Security**

**No Concerns**

N/A

**Fire Safety**

**No Concerns**

N/A

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## Client Profile

Client contact:

Phone:

Email:

Fax:

## Facility Profile

Facility SCHOONBEE

Address: Kameelkop 17 JS, Groblersdal / POBox X678,  
Groblersdal, 0470  
GROBLERSDAL  
South Africa

Contact(s) Jan (PUC)

Tel: 013 262 4000

jn@schoonbee.co.za (PUC)

Inspection Number: 267895

Primary office or parent company address (if different from above):

SCHOONBEE

Name of the company from which facility receives orders to produce client goods:

Core Fruit

Name of the company that pays this facility for production of client goods:

Core Fruit

Length of time producing for client (from year of first order):

Since 1999

Name and title of person in charge of security program at this facility:

Mr. Peter Johanis Vermaak, Security Manager

Previous security inspection:

 Yes  No

Date of prior security inspection:

not provided

Grade of prior security inspection:

Score of 95%, Low risk grade

## Audit Profile

Inspection team:

Davidzo Muchawaya

Date:

Friday, May 29, 2015

Time In / Time out:

8:15am-12:47pm

The audit is:

 Scheduled Appointment  Unannounced

Was the facility prepared for the inspection?

 Yes  No

If 'No', what was the impact on the inspection:

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## Service Providers

\* Concerns in Red

## Land Carriers (Trucking) Profile

How does the facility ship goods to the client (from this location)?	<input checked="" type="checkbox"/> Full container load (FCL) <input type="checkbox"/> Less than container load (LCL) <input type="checkbox"/> Both
Which party is responsible for arranging the transportation of goods from the factory to the consolidator or port?	<input type="checkbox"/> The client <input checked="" type="checkbox"/> The factory <input type="checkbox"/> The vendor <input type="checkbox"/> Other
After the goods leave the factory, where do they go?	<input type="checkbox"/> The consolidator <input type="checkbox"/> The port/airport <input checked="" type="checkbox"/> Other
Does the facility utilize specific Land Carrier companies?	Yes
How many land carriers (trucking companies) does the facility use to transport goods to the next point (i.e. to the consolidators or port of export)?	2
Land carrier facility name	GSP Trucking
Physical Address	P.O Box 1607 Bracjenfell, 7561
Country	South Africa
Phone	0027 21 949 7140
Fax	0027 86 604 9207
Contact Name	WP Strauss
Land Carrier Facility Name	GS Schoonbee Landgoed
Physical Address	5 Termo Street, Techno Park, Stellenbosch
Country	South Africa
Phone	0027 21 880 5200
Fax	0027 21 880 0771

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## Service Providers

\* Concerns in Red

## Land Carriers (Trucking) Profile

Contact Name

not provided

## Freight Forwarders/ Consolidators

Does the facility contract specific Freight Forwarder / Consolidator companies?

Yes

How many Freight Forwarders or Consolidators does the facility use for goods? (I) (D)

1

Freight forwarder facility name

Fresh world Limited

Physical Address

23 Elektron street, Techno Park,  
Stellenbosch, 7600

Country

South Africa

Phone

0027 (0)21 808 7100

Fax

0027 (0)21 886 9607

Contact Name

Mr. Harrison

Freight Forwarder Facility Name

N/A

Physical Address

N/A

Country

N/A

Phone

N/A

Fax

N/A

Contact Name

N/A

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## Supply Chain Security

\* Concerns in Red

## Personnel Security

- |   |   |
|---|---|
| <p>1 <b>Critical: Does the facility have a written Employee Security Policy, which includes procedures for hiring, employment application and employee documentation, and screening of new employees?</b></p> | <p><input type="checkbox"/> NA<br/> <input checked="" type="checkbox"/> No<br/> <input type="checkbox"/> Partial<br/> <input checked="" type="checkbox"/> Yes</p> |
| <p>2 Does the facility have a written policy to require that the Employee Security Policy is reviewed every 12 months to determine if updates are necessary?</p>  | <p><input type="checkbox"/> NA<br/> <input type="checkbox"/> No<br/> <input type="checkbox"/> Partial<br/> <input checked="" type="checkbox"/> Yes</p>            |
| <p>3 Does every permanent or temporary employee applicant complete a written employment application before being considered for employment?</p>   | <p><input type="checkbox"/> NA<br/> <input type="checkbox"/> No<br/> <input type="checkbox"/> Partial<br/> <input checked="" type="checkbox"/> Yes</p>            |
| <p>4 Does the facility have a written procedure to conduct a background check for an applicant applying to work in a sensitive position or a current employee working in a sensitive position?</p>            | <p><input type="checkbox"/> NA<br/> <input type="checkbox"/> No<br/> <input type="checkbox"/> Partial<br/> <input checked="" type="checkbox"/> Yes</p>            |
| <p>5 <b>Critical: Is the applicant's photo ID card reviewed during the application process?</b></p>   | <p><input type="checkbox"/> NA<br/> <input type="checkbox"/> No<br/> <input type="checkbox"/> Partial<br/> <input checked="" type="checkbox"/> Yes</p>            |
| <p>6 Is a personnel file maintained for each employee?</p>  | <p><input type="checkbox"/> NA<br/> <input type="checkbox"/> No<br/> <input type="checkbox"/> Partial<br/> <input checked="" type="checkbox"/> Yes</p>            |
| <p>7 Does the facility have a written policy to issue a photo identification card or an access card to each employee for access to the facility?</p>  | <p><input type="checkbox"/> NA<br/> <input type="checkbox"/> No<br/> <input type="checkbox"/> Partial<br/> <input checked="" type="checkbox"/> Yes</p>            |

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## Supply Chain Security

\* Concerns in Red

## Personnel Security

- |   |   |
|---|---|
| 8 Does the facility have a written procedure that requires review of the background check document every 12 months for an employee working in a sensitive position?                 | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 9 Does the facility have a written Employee Termination policy to remove the identification badge, facility access, and system access for an employee who is terminated or resigns? | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |

## Threat Awareness

- |  |   |
|--|---|
| 10 Does the facility have a written Threat Awareness Program to train employees about the security threat at each segment of the supply chain? | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 11 Does the Threat Awareness Program train employees on how to report a security situation or incident?  | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 12 Does the Threat Awareness Program provide specific job related training to shipping and receiving employees?                                | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 13 Is the Threat Awareness Training provided during new hire orientation and then every 12 months as refresher training to all employees?      | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 14 Are employees who report suspicious activity and security violations given some form of encouragement?                                      | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |

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## Supply Chain Security

\* Concerns in Red

## Threat Awareness

15 Are Threat Awareness Program security procedures publicized in common areas of the facility?

- NA  
 No  
 Partial  
 Yes

## Physical Access Controls

16 Does the facility have a written policy to ensure that only authorized employees are permitted access to sensitive or restricted areas?

- NA  
 No  
 Partial  
 Yes

17 Does facility management review and update employee lists that authorize access to sensitive and restricted work areas?

- NA  
 No  
 Partial  
 Yes

18 Is there a security guard or designated facility managers or supervisors designated to monitor access to the facility?

- NA  
 No  
 Partial  
 Yes

19 Does the facility periodically perform an inspection of an existing perimeter fence or wall to check for damage, attempted illegal access, and needed repairs?

- NA  
 No  
 Partial  
 Yes

20 Does the facility have a written procedure requiring employees to immediately report any security incident to facility management?

- NA  
 No  
 Partial  
 Yes

21 Does the facility have a written policy and procedures to deny access of employees who either resign or are terminated?

- NA  
 No  
 Partial  
 Yes

22 Is additional security monitoring applied for a building or structure that adjoins the facility that could enable unauthorized entry?

- NA  
 No  
 Partial  
 Yes

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## Supply Chain Security

\* Concerns in Red

## Physical Access Controls

- |  |   |
|--|---|
| <p><b>23 Critical: Does the facility use Closed Circuit Television (CCTV) or another surveillance method to monitor activity in sensitive areas within the facility such as receiving, shipping, final packing, main offices, the lobby, and the computer server/systems room?</b></p>           | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| <p>24 Does the facility have a written procedure that requires a visitor and a pick-up/delivery driver to show photo identification before entry is permitted?</p>   | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| <p>25 Does the facility have a policy to issue a serial numbered visitor badge to each visitor and maintain a Visitors Log?</p>  | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| <p>26 Does the facility have a written policy that all visitors are escorted while at the facility?</p>  | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| <p><b>27 Critical: Does the facility have a written policy that requires a control log maintained for any pick-up or delivery truck arrival &amp; departure including the driver's name, driver's license number, truck license number, reason for visit, &amp; log is kept for 30 days?</b></p> | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| <p><b>28 Critical: Does the facility have a written policy indicating that employees are not permitted to bring personal items into the final packing and shipping areas?</b></p>  | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |

## Physical Security

- |   |   |
|---|---|
| <p>29 Has the facility appointed in writing a company official responsible for facility, employee, and transportation security?</p> | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
|---|---|

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## Supply Chain Security

\* Concerns in Red

## Physical Security

<p><b>30 Critical: Does the facility have a written policy that requires all security procedures are documented as either a policy or procedure?</b></p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p><b>31 Critical: Are the facility security policies reviewed every 12 months and updated when necessary?</b></p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>32 Is a security assessment of the facility site conducted to identify weaknesses at least every 12 months? Are the weaknesses identified, recorded in a log, and corrected in a timely manner?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>33 Does the facility restrict access to cargo handling and storage areas?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>34 Are facility access gates, exterior doors, and windows secured with locking devices?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>35 Does the facility have an intrusion detection system or a security surveillance method for sensitive/controlled access areas?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p><b>36 Critical: Does the facility have a written Access Control Program that establishes responsibility to maintain control of all locks, keys and access cards?</b></p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>37 Does the facility Access Control Program include a control log that accounts for all keys/access cards not issued or are issued and not returned?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>

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## Supply Chain Security

\* Concerns in Red

## Physical Security

38 Does the Access Control Program include an inventory process to account for all keys/access cards?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
39 Are lost keys/access cards reported immediately to the facility Security Manager?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
40 Are the facility's buildings constructed of materials that resist unlawful entry?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
41 Does the facility have a documented process to inspect buildings for security and maintenance issues each month and to make necessary repairs?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
42 Is the facility perimeter secured with a fence or wall or patrolled by security guards/facility management or supervisors, to deter unauthorized access?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
43 Does facility management, the security manager, or designated security employees conduct meetings to review security alerts and discuss how to improve facility security?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
44 Is there radio or phone communication between the central security office and any guard posts?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes

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## Supply Chain Security

\* Concerns in Red

**Physical Security**

45 During darkness, the facility perimeter fence/wall is well illuminated?

Exception for food pack houses (regardless of risk rating of country): If the facility does not have a permanent structure then mark this question as N/A.

- NA  
 No  
 Partial  
 Yes

46 Is access to outside lighting switches or controls restricted to only authorized employees or the security guard supervisor?

- NA  
 No  
 Partial  
 Yes

47 Does facility management have direct communication to local law enforcement authorities?

- NA  
 No  
 Partial  
 Yes

48 Are visitor and employee personal vehicles prohibited from parking near a cargo handling area, a cargo storage area, or near cargo vehicles?

- NA  
 No  
 Partial  
 Yes

49 Are only facility management and a designated security guard supervisor permitted to have keys or other controls necessary to open/close facility gates?

- NA  
 No  
 Partial  
 Yes

50 Are the facility's gates monitored by security guards and/or closed circuit television (CCTV)?

- NA  
 No  
 Partial  
 Yes

**Container / Trailer Security**

51 When the facility uses a contracted consolidator to load containers or trailers, is there a written contract requiring security standards for employee hiring, perimeter security, cargo holding area security, loading dock security?

- NA  
 No  
 Partial  
 Yes

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## Supply Chain Security

\* Concerns in Red

## Container / Trailer Security

<p>52 <b>Critical: Does the gate security or a designated facility manager perform a container or trailer inbound inspection?</b></p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>53 Does the gate security or a designated facility manager perform a truck outbound inspection and the results are recorded in an Outbound Vehicle Log</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>54 Outbound container or trailer incidents involving a seal number discrepancy or a broken security seal are reported to facility management?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>55 When a seal discrepancy is discovered, does facility management or security examine the container or trailer contents for illegal cargo?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>56 Are empty and loaded containers or trailers kept in a secure area at the facility?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>57 When stored at the facility, is an empty and loaded container or trailer secured with a lock or high security seal?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>58 Is a stored container or trailer that has potentially been tampered with reported to a security supervisor or facility management?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>
<p>59 Is container or trailer loading supervised by either a security guard or a designated member of management to prevent introduction of non-manifested cargo?</p>	<p><input type="checkbox"/> NA  <input type="checkbox"/> No  <input type="checkbox"/> Partial  <input checked="" type="checkbox"/> Yes</p>

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## Supply Chain Security

\* Concerns in Red

## Container / Trailer Security

60	When CCTV is used to capture container/trailer loading, is the recording kept for a minimum of 30 days?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
61	<b>Critical: Prior to loading is the container or trailer integrity and security verified by performing a 7-point inspection?</b>	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
62	Is a record of the container or trailer 7-point security inspection maintained at the facility for at least 45 days?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
63	<b>Critical: Does the facility place an ISO 17712 High Security Seal on a container or trailer immediately after loading is completed?</b>	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
64	<b>Critical: Does the facility have a process to ensure only authorized management and the shipping supervisor has access to high security seals?</b>	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
65	Does the facility have a method to ensure that unused security seals are kept securely?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
66	Does the facility have a written procedure for affixing, recording, and tracking high security seal use?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes
67	Does the facility maintain a Seal Control Log for six months?	<input type="checkbox"/> NA <input type="checkbox"/> No <input type="checkbox"/> Partial <input checked="" type="checkbox"/> Yes

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## Supply Chain Security

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## Container / Trailer Security

68 **Critical:** Does the shipping supervisor or an authorized employee verify that the transportation documents are complete and accurate?

- NA  
 No  
 Partial  
 Yes

## Procedural Security

69 **Critical:** Does the facility use security methods to prevent tampering with goods during final packaging?

- NA  
 No  
 Partial  
 Yes

70 **Critical:** Does the facility have a process to screen arriving packages and mail prior to distribution?

- NA  
 No  
 Partial  
 Yes

71 **Critical:** Does the facility have a written procedure to ensure that data used to create cargo documents and manifests are accurate, legible, complete, and protected against tampering or loss?

- NA  
 No  
 Partial  
 Yes

72 Does the facility's shipping department maintain a record of each shipment made?

- NA  
 No  
 Partial  
 Yes

73 Does the facility conduct a review of shipment information and documentation controls to verify accuracy and security?

- NA  
 No  
 Partial  
 Yes

74 Does the facility have a process to ensure that international and domestic cargo shipments are kept separate in the shipping area?

- NA  
 No  
 Partial  
 Yes

75 Does the facility require employees to report anything unusual found in a shipment or shipment documents?

- NA  
 No  
 Partial  
 Yes

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## Supply Chain Security

\* Concerns in Red

## Procedural Security

- |  |   |
|--|---|
| 76 Do employees use a method to count outbound cartons to identify an overage or shortage?   | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 77 Does the facility have a process to ensure shipping cartons are properly labeled with item description and carton weight before loading or shipping?  | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 78 Prior to selecting a highway transit carrier does the facility consider company history, driver/employee hiring procedures, and existing transit security controls?   | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 79 Does the facility's written agreement with their transport company indicate preferred transit route(s) for a driver, allowable transit time limit, designated rest/meal stop locations & a process for a driver to report container or trailer security issues? | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 80 Does the facility conduct a security review of their transport company to ensure compliance with the contract?  | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |

## Information Security

- |  |   |
|--|---|
| 81 <b>Critical: Does the facility have a written policy that designates which employees are permitted access to the information systems?</b> | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 82 Has the facility designated a system administrator responsible for establishing system users and their identity codes/user names?         | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |

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## Supply Chain Security

\* Concerns in Red

## Information Security

- |    |   |   |
|----|---|---|
| 83 | Are designated computer terminal users assigned a password and are required to change their passwords periodically? | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 84 | Does the systems administrator report a security incident to facility management?                                   | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 85 | Does each computer terminal close and lock after a period of inactivity?  | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 86 | Does the system administrator receive a report of invalid password attempts?  | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 87 | Does the system administrator investigate reported incidents of attempted unauthorized system access or breach?     | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 88 | Does the facility's information system have an intrusion warning program such as anti-virus programs?               | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
| 89 | Is the facility's data saved on a back-up system that enables restoration in the event of significant data loss?    | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |

## Contractor Security

- |    |  |   |
|----|--|---|
| 90 | <b>Critical:</b> Does the facility have a written procedure regarding security vetting of service contractors who require routine or scheduled access to the facility? | <input type="checkbox"/> NA<br><input type="checkbox"/> No<br><input type="checkbox"/> Partial<br><input checked="" type="checkbox"/> Yes |
|----|--|---|

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## Supply Chain Security

\* Concerns in Red

## Contractor Security

91 Does the facility have a procedure that provides security standards for contractor employees who enter the premises?

- NA  
 No  
 Partial  
 Yes

92 Does the facility require assigning an ID badge to a contractor's employee that enters the premises?

- NA  
 No  
 Partial  
 Yes

## Fire Safety

93 Aisles, exits and stairwells are kept clear and unblocked at all times?

## Auditor Comments:

The aisles that were observed at the time of the audit appeared to be free of obstruction.

- NA  
 No  
 Partial  
 Yes

94 The facility has an evacuation plan, to include an evacuation route map in the language of the majority of employees, posted near each interior exit and work area to include a "You are Here" mark?

## Auditor Comments:

It was observed that the facility has evacuation plans that are posted in prominent position in the facility. The evacuation plot plans have you are here signs.

- NA  
 No  
 Partial  
 Yes

95 Facility exits are properly marked with illuminated signs in the language of the majority of employees?

## Auditor Comments:

The exit signs at the facility are not equipped with illuminated exit signs. The facility management explained that they have a generator which switches on after 14 seconds if there is a power outage.

- NA  
 No  
 Partial  
 Yes

96 The facility has no locked emergency exit doors?

## Auditor Comments:

During the audit, it was observed that the emergency exits appeared to be unlocked during operating hours.

- NA  
 No  
 Partial  
 Yes

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## Supply Chain Security

\* Concerns in Red

## Fire Safety

- 97 The facility conducts and documents an evacuation drill at least once every 12 months when the facility has sprinklers or fire hydrants OR at least once every 3 months if the facility does not have sprinklers or fire hydrants.

## Auditor Comments:

The facility conducts fire drills twice a year. Further, the facility is equipped with fire hydrants. The latest fire drill was conducted in March 2015.

- NA  
 No  
 Partial  
 Yes

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Pictures



Alarm pad



CCTV in the loading area



CCTV Monitor



CCTV monitoring activities in the pack house



CCTV positioned close to the loading area



Colour codes for employees

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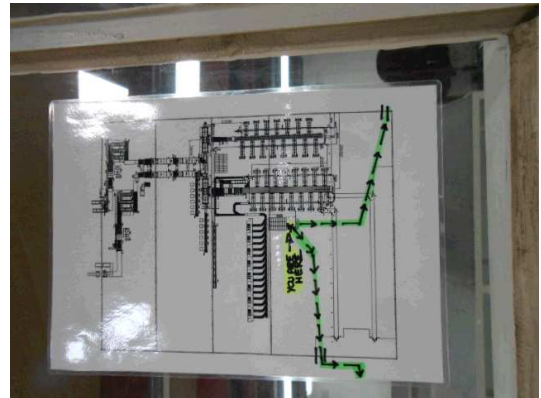
Domestic produce kept is a separate area from produce that is exported



Employee displaying photo badges



Exit signs



Evacuation plot plan



Facilities for keeping employee belongings



Facility main entrance

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Facility outlook



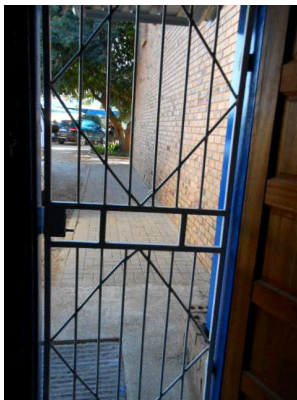
Facility workfloor



Lighting close to the loading area



List of employees allowed to enter a restricted area



Lockable doors



Packing area

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Perimeter wall



Radios used for communication



Restricted access into the server room



Security guard positioned at the loading area



Security guards at the main entrance of the facility



Security signs at the gate

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Threat awareness programme posted in prominent positions



Un obstructed aisles



Vehicle access card



Visitors badge



Visitors parking area

VISITOR PARKING AREA

DATE	TIME	REGISTRATION NO.	VISITOR NAME	ISSUED BY	ISSUED DATE	EXPIRES	ISSUED TO
2015/05/29	08:00	12345678	John Doe	John Doe	2015/05/29	2015/06/05	John Doe
2015/05/29	08:15	87654321	Jane Smith	Jane Smith	2015/05/29	2015/06/05	Jane Smith
2015/05/29	08:30	23456789	Mike Johnson	Mike Johnson	2015/05/29	2015/06/05	Mike Johnson
2015/05/29	08:45	34567890	Sarah Brown	Sarah Brown	2015/05/29	2015/06/05	Sarah Brown
2015/05/29	09:00	45678901	David White	David White	2015/05/29	2015/06/05	David White
2015/05/29	09:15	56789012	Emily Green	Emily Green	2015/05/29	2015/06/05	Emily Green
2015/05/29	09:30	67890123	Chris Black	Chris Black	2015/05/29	2015/06/05	Chris Black
2015/05/29	09:45	78901234	Alice Grey	Alice Grey	2015/05/29	2015/06/05	Alice Grey
2015/05/29	10:00	89012345	Bob Blue	Bob Blue	2015/05/29	2015/06/05	Bob Blue
2015/05/29	10:15	90123456	Carol Yellow	Carol Yellow	2015/05/29	2015/06/05	Carol Yellow
2015/05/29	10:30	01234567	Frank Purple	Frank Purple	2015/05/29	2015/06/05	Frank Purple
2015/05/29	10:45	12345678	Grace Pink	Grace Pink	2015/05/29	2015/06/05	Grace Pink
2015/05/29	11:00	23456789	Henry Brown	Henry Brown	2015/05/29	2015/06/05	Henry Brown
2015/05/29	11:15	34567890	Ivy Green	Ivy Green	2015/05/29	2015/06/05	Ivy Green
2015/05/29	11:30	45678901	Jack Black	Jack Black	2015/05/29	2015/06/05	Jack Black
2015/05/29	11:45	56789012	Karen Grey	Karen Grey	2015/05/29	2015/06/05	Karen Grey
2015/05/29	12:00	67890123	Leo Blue	Leo Blue	2015/05/29	2015/06/05	Leo Blue
2015/05/29	12:15	78901234	Mia Yellow	Mia Yellow	2015/05/29	2015/06/05	Mia Yellow
2015/05/29	12:30	89012345	Noah Purple	Noah Purple	2015/05/29	2015/06/05	Noah Purple
2015/05/29	12:45	90123456	Olivia Pink	Olivia Pink	2015/05/29	2015/06/05	Olivia Pink
2015/05/29	13:00	01234567	Peter Brown	Peter Brown	2015/05/29	2015/06/05	Peter Brown
2015/05/29	13:15	12345678	Quinn Green	Quinn Green	2015/05/29	2015/06/05	Quinn Green
2015/05/29	13:30	23456789	Ryan Black	Ryan Black	2015/05/29	2015/06/05	Ryan Black
2015/05/29	13:45	34567890	Sarah Grey	Sarah Grey	2015/05/29	2015/06/05	Sarah Grey
2015/05/29	14:00	45678901	Timothy Blue	Timothy Blue	2015/05/29	2015/06/05	Timothy Blue
2015/05/29	14:15	56789012	Uma Yellow	Uma Yellow	2015/05/29	2015/06/05	Uma Yellow
2015/05/29	14:30	67890123	Victor Purple	Victor Purple	2015/05/29	2015/06/05	Victor Purple
2015/05/29	14:45	78901234	Wendy Pink	Wendy Pink	2015/05/29	2015/06/05	Wendy Pink
2015/05/29	15:00	89012345	Xavier Brown	Xavier Brown	2015/05/29	2015/06/05	Xavier Brown
2015/05/29	15:15	90123456	Yvonne Green	Yvonne Green	2015/05/29	2015/06/05	Yvonne Green
2015/05/29	15:30	01234567	Zachary Black	Zachary Black	2015/05/29	2015/06/05	Zachary Black
2015/05/29	15:45	12345678	Adrian Grey	Adrian Grey	2015/05/29	2015/06/05	Adrian Grey
2015/05/29	16:00	23456789	Brenda Blue	Brenda Blue	2015/05/29	2015/06/05	Brenda Blue
2015/05/29	16:15	34567890	Carl Yellow	Carl Yellow	2015/05/29	2015/06/05	Carl Yellow
2015/05/29	16:30	45678901	Diana Purple	Diana Purple	2015/05/29	2015/06/05	Diana Purple
2015/05/29	16:45	56789012	Ethan Pink	Ethan Pink	2015/05/29	2015/06/05	Ethan Pink
2015/05/29	17:00	67890123	Fiona Brown	Fiona Brown	2015/05/29	2015/06/05	Fiona Brown
2015/05/29	17:15	78901234	Gavin Green	Gavin Green	2015/05/29	2015/06/05	Gavin Green
2015/05/29	17:30	89012345	Helen Black	Helen Black	2015/05/29	2015/06/05	Helen Black
2015/05/29	17:45	90123456	Ian Grey	Ian Grey	2015/05/29	2015/06/05	Ian Grey
2015/05/29	18:00	01234567	Jane Blue	Jane Blue	2015/05/29	2015/06/05	Jane Blue
2015/05/29	18:15	12345678	Kevin Yellow	Kevin Yellow	2015/05/29	2015/06/05	Kevin Yellow
2015/05/29	18:30	23456789	Laura Purple	Laura Purple	2015/05/29	2015/06/05	Laura Purple
2015/05/29	18:45	34567890	Mark Pink	Mark Pink	2015/05/29	2015/06/05	Mark Pink
2015/05/29	19:00	45678901	Nancy Brown	Nancy Brown	2015/05/29	2015/06/05	Nancy Brown
2015/05/29	19:15	56789012	Oscar Green	Oscar Green	2015/05/29	2015/06/05	Oscar Green
2015/05/29	19:30	67890123	Peter Black	Peter Black	2015/05/29	2015/06/05	Peter Black
2015/05/29	19:45	78901234	Quinn Grey	Quinn Grey	2015/05/29	2015/06/05	Quinn Grey
2015/05/29	20:00	89012345	Rachel Blue	Rachel Blue	2015/05/29	2015/06/05	Rachel Blue
2015/05/29	20:15	90123456	Samuel Yellow	Samuel Yellow	2015/05/29	2015/06/05	Samuel Yellow
2015/05/29	20:30	01234567	Tina Purple	Tina Purple	2015/05/29	2015/06/05	Tina Purple
2015/05/29	20:45	12345678	Uma Pink	Uma Pink	2015/05/29	2015/06/05	Uma Pink
2015/05/29	21:00	23456789	Victor Brown	Victor Brown	2015/05/29	2015/06/05	Victor Brown
2015/05/29	21:15	34567890	Wendy Green	Wendy Green	2015/05/29	2015/06/05	Wendy Green
2015/05/29	21:30	45678901	Xavier Black	Xavier Black	2015/05/29	2015/06/05	Xavier Black
2015/05/29	21:45	56789012	Yvonne Grey	Yvonne Grey	2015/05/29	2015/06/05	Yvonne Grey
2015/05/29	22:00	67890123	Zachary Blue	Zachary Blue	2015/05/29	2015/06/05	Zachary Blue
2015/05/29	22:15	78901234	Adrian Yellow	Adrian Yellow	2015/05/29	2015/06/05	Adrian Yellow
2015/05/29	22:30	89012345	Brenda Purple	Brenda Purple	2015/05/29	2015/06/05	Brenda Purple
2015/05/29	22:45	90123456	Carl Pink	Carl Pink	2015/05/29	2015/06/05	Carl Pink
2015/05/29	23:00	01234567	Diana Brown	Diana Brown	2015/05/29	2015/06/05	Diana Brown
2015/05/29	23:15	12345678	Ethan Green	Ethan Green	2015/05/29	2015/06/05	Ethan Green
2015/05/29	23:30	23456789	Fiona Black	Fiona Black	2015/05/29	2015/06/05	Fiona Black
2015/05/29	23:45	34567890	Gavin Grey	Gavin Grey	2015/05/29	2015/06/05	Gavin Grey
2015/05/29	00:00	45678901	Helen Blue	Helen Blue	2015/05/29	2015/06/05	Helen Blue
2015/05/29	00:15	56789012	Ian Yellow	Ian Yellow	2015/05/29	2015/06/05	Ian Yellow
2015/05/29	00:30	67890123	Jane Purple	Jane Purple	2015/05/29	2015/06/05	Jane Purple
2015/05/29	00:45	78901234	Kevin Pink	Kevin Pink	2015/05/29	2015/06/05	Kevin Pink
2015/05/29	01:00	89012345	Laura Brown	Laura Brown	2015/05/29	2015/06/05	Laura Brown
2015/05/29	01:15	90123456	Mark Green	Mark Green	2015/05/29	2015/06/05	Mark Green
2015/05/29	01:30	01234567	Nancy Black	Nancy Black	2015/05/29	2015/06/05	Nancy Black
2015/05/29	01:45	12345678	Oscar Grey	Oscar Grey	2015/05/29	2015/06/05	Oscar Grey
2015/05/29	02:00	23456789	Peter Blue	Peter Blue	2015/05/29	2015/06/05	Peter Blue
2015/05/29	02:15	34567890	Quinn Yellow	Quinn Yellow	2015/05/29	2015/06/05	Quinn Yellow
2015/05/29	02:30	45678901	Rachel Purple	Rachel Purple	2015/05/29	2015/06/05	Rachel Purple
2015/05/29	02:45	56789012	Samuel Pink	Samuel Pink	2015/05/29	2015/06/05	Samuel Pink
2015/05/29	03:00	67890123	Tina Brown	Tina Brown	2015/05/29	2015/06/05	Tina Brown
2015/05/29	03:15	78901234	Uma Green	Uma Green	2015/05/29	2015/06/05	Uma Green
2015/05/29	03:30	89012345	Victor Black	Victor Black	2015/05/29	2015/06/05	Victor Black
2015/05/29	03:45	90123456	Wendy Grey	Wendy Grey	2015/05/29	2015/06/05	Wendy Grey
2015/05/29	04:00	01234567	Xavier Blue	Xavier Blue	2015/05/29	2015/06/05	Xavier Blue
2015/05/29	04:15	12345678	Yvonne Yellow	Yvonne Yellow	2015/05/29	2015/06/05	Yvonne Yellow
2015/05/29	04:30	23456789	Zachary Purple	Zachary Purple	2015/05/29	2015/06/05	Zachary Purple
2015/05/29	04:45	34567890	Adrian Pink	Adrian Pink	2015/05/29	2015/06/05	Adrian Pink
2015/05/29	05:00	45678901	Brenda Brown	Brenda Brown	2015/05/29	2015/06/05	Brenda Brown
2015/05/29	05:15	56789012	Carl Green	Carl Green	2015/05/29	2015/06/05	Carl Green
2015/05/29	05:30	67890123	Diana Black	Diana Black	2015/05/29	2015/06/05	Diana Black
2015/05/29	05:45	78901234	Ethan Grey	Ethan Grey	2015/05/29	2015/06/05	Ethan Grey
2015/05/29	06:00	89012345	Fiona Blue	Fiona Blue	2015/05/29	2015/06/05	Fiona Blue
2015/05/29	06:15	90123456	Gavin Yellow	Gavin Yellow	2015/05/29	2015/06/05	Gavin Yellow
2015/05/29	06:30	01234567	Helen Purple	Helen Purple	2015/05/29	2015/06/05	Helen Purple
2015/05/29	06:45	12345678	Ian Pink	Ian Pink	2015/05/29	2015/06/05	Ian Pink
2015/05/29	07:00	23456789	Jane Brown	Jane Brown	2015/05/29	2015/06/05	Jane Brown
2015/05/29	07:15	34567890	Kevin Green	Kevin Green	2015/05/29	2015/06/05	Kevin Green
2015/05/29	07:30	45678901	Laura Black	Laura Black	2015/05/29	2015/06/05	Laura Black
2015/05/29	07:45	56789012	Mark Grey	Mark Grey	2015/05/29	2015/06/05	Mark Grey
2015/05/29	08:00	67890123	Nancy Blue	Nancy Blue	2015/05/29	2015/06/05	Nancy Blue
2015/05/29	08:15	78901234	Oscar Yellow	Oscar Yellow	2015/05/29	2015/06/05	Oscar Yellow
2015/05/29	08:30	89012345	Peter Purple	Peter Purple	2015/05/29	2015/06/05	Peter Purple
2015/05/29	08:45	90123456	Quinn Pink	Quinn Pink	2015/05/29	2015/06/05	Quinn Pink
2015/05/29	09:00	01234567	Rachel Brown	Rachel Brown	2015/05/29	2015/06/05	Rachel Brown
2015/05/29	09:15	12345678	Samuel Green	Samuel Green	2015/05/29	2015/06/05	Samuel Green
2015/05/29	09:30	23456789	Tina Black	Tina Black	2015/05/29	2015/06/05	Tina Black
2015/05/29	09:45	34567890	Uma Grey	Uma Grey	2015/05/29	2015/06/05	Uma Grey
2015/05/29	10:00	45678901	Victor Blue	Victor Blue	2015/05/29	2015/06/05	Victor Blue
2015/05/29	10:15	56789012	Wendy Yellow	Wendy Yellow	2015/05/29	2015/06/05	Wendy Yellow
2015/05/29	10:30	67890123	Xavier Purple	Xavier Purple	2015/05/29	2015/06/05	Xavier Purple
2015/05/29	10:45	78901234	Yvonne Pink	Yvonne Pink	2015/05/29	2015/06/05	Yvonne Pink
2015/05/29	11:00	89012345	Zachary Brown	Zachary Brown	2015/05/29	2015/06/05	Zachary Brown
2015/05/29	11:15	90123456	Adrian Green	Adrian Green	2015/05/29	2015/06/05	Adrian Green
2015/05/29	11:30	01234567	Brenda Black	Brenda Black</			